

1033 U.S. PTO  
09/1837510  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Continuation Patent Application Of:  
Kenneth Kiron and Kevin S. Bander

Mailed: May 26, 2000

For: Open End Mutual Fund Securitization Process

) Prior Application:  
    ) Application No. 09/140,868  
    ) Filed: August 27, 1998  
    ) Art Unit: 2761  
    ) Examiner: S. Tkacs  
    ) Present Application:  
    ) Examiner: Not Assigned  
    ) Art Unit: Not Assigned

*Amend C*  
*# 8*  
*TWD*  
*12/14/01*

Box Patent Application  
Fee  
Commissioner For Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

The above-identified Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. Before examination, please amend the instant Application as follows:

In the Specification:

On page 1, line 5 (of the Substitute Specification), please insert

-- This Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. --

In the Claims:

Please cancel Claims 19-51 and add the following Claims:

- 52. An electronic data processing method for administering a financial product traded as a security comprising:
- creating a mutual fund comprising a plurality a selected portfolio of securities;
  - receiving information on each of said one or more securities in the selected portfolio in an electronic data format;
  - processing said information to determine in real time the price of the financial product on the basis of a user-defined method of weighting the selected portfolio of securities.
- CJ* *D* *L Sub D* 53. A method comprising the steps of: